



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NO/TITLE

703-612-2366

05/01/97

GREENER

A 8142-124-995

PENNIE & EDMONDS
155 AVENUE OF THE AMERICAS
NEW YORK NY 10036-2711

REFERRED TO	CA
REC'D	
SEP 15 1997	
Pennie & Edmonds	
O.K. for filing	DATE MAILED:

NOT ASSIGNED

1815

09/16/97

Oath or Declaration Fee
Due Nov. 10, 1997
Final 3/10/98

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted ALONG WITH THE PAYMENT OF A SURCHARGE for items 1 and 3-6 only of \$ 130.00 for a large entity small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a large entity small entity (verified statement filed), is \$ 125.00. 602.00

1. The statutory basic filing fee is:

- missing.
 insufficient.

Applicant must submit \$ 270 385.00 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27). 152.00 RECEIVED

PENNIE & EDMONDS

2. Additional claim fees of \$ 204.00, including any multiple dependent claim fees, are required.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

SEP 16 1997

3. The oath or declaration:

- is missing.
 does not cover the newly submitted items.
 does not identify the application to which it applies.
 does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

CA OFFICE

4. The signature(s) to the oath or declaration is/are:

- missing.
 by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$ 0 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the response.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

FORM PTO-1533 (REV.7-96)

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/09/1997 JTI/PETTIT 00000007 DA# 161150 0884696
05.00 05.00 05.00 05.00
05.00 05.00 05.00 05.00
05.00 05.00 05.00 05.00
05.00 05.00 05.00 05.00
01 FC:201 02 FC:203 03 FC:205 04 FC:205

*U.S. GPO: 1996-404-496/40515



JECTOR

Express Mail No.: EM 202 007 418 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of: Greener and Jerpseth

Serial No.: 08/846,996

Group Art Unit: to be assigned

Filed: May 1, 1997

Examiner: to be assigned

For: **HIGHLY TRANSFORMABLE
BACTERIAL CELLS AND METHODS
FOR PRODUCING THE SAME**

Attorney Docket No.:
8142-124-999

ATTENTION: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS
FILING DATE GRANTED**

Sir:

In response to the "Notice to File Missing Parts of Application - Filing Date Granted" mailed by the U.S. Patent and Trademark Office on September 10, 1997, Applicants submit the following documents to complete the filing for the above-identified application:

1. A Declaration and Power of Attorney signed by the inventors in compliance with 37 CFR 1.63; and
2. Corrected return copy of Notice to File Missing Parts of Application - Filing Date Granted.

Applicants also submit herewith the following documents for the above-identified application:

3. An executed Verified Statement Claiming Small Entity Status - Small Business Concern;
4. An executed Assignment - Joint; and
5. Recordation Form Cover Sheet - Patents Only.

The U.S. Patent and Trademark Office is hereby authorized to charge the following fees to
Pennie & Edmonds LLP Deposit Account No. 16-1150:



The statutory basic filing fee
for Small Entity (executed Verified
Statement enclosed) - **\$385.00**

Surcharge Fee for filing the Declaration
of Power of Attorney on a date later than
the filing date of the application **\$ 65.00**

3. Additional claim fees
(2 extra claims @
\$11.00 each; and multiple dependency
claim @ \$130.00) **\$152.00**

Total \$602.00

4. The Commissioner is hereby authorized to charge any additional fees associated with
this paper communication or credit any overpayment to Deposit Account No. 16-1150.

The documents submitted herewith complete the filing of the above patent application. This
form is being submitted in duplicate.

Respectfully submitted,

Date: 9/23/97

Samuel Abrams 30,605
(Reg. No.)
Samuel B. Abrams
PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, New York 10036-2711
(650) 493-4935

Enclosures